

## Procedures for Possible Egregious Humane Handling Events in State Establishments

### Incident

- Possible egregious humane handling event at state establishment
- IIC immediately stops inhumane slaughter or handling of livestock with appropriate regulatory control action
- IIC notifies Area Supervisor of incident; Area Supervisor notifies TA Coordinator and State DVMS<sup>1</sup> of incident<sup>2</sup>
- IPP correlation to determine nature of event (egregious or non-egregious)

### Non-egregious

- IIC documents observations in noncompliance record (NR) under Livestock Humane Handling Task
- Electronic copy of NR delivered to Area Supervisor, TA Coordinator, and State DVMS
- NR presented to establishment

### Egregious

- State DVMS or TA Coordinator notifies State Director
- IIC documents observations in noncompliance record (NR) under Livestock Humane Handling Task
- Electronic copy of NR delivered to Area Supervisor, TA Coordinator, and State DVMS
- Once the IPP agree the NR is complete/finalized, IIC will distribute the NR to other parties as listed<sup>3</sup>
- State DVMS calls State Director to provide enforcement recommendation
- State Director decides course of action and relays decision to State DVMS, TA Coordinator
- State DVMS provides verbal notification of impending enforcement action to establishment, Area Supervisor, and IIC **(time sensitive)**.
- State DVMS prepares formal enforcement action documentation and shares copies with all required parties<sup>3</sup>
- Establishment proffers corrective actions and preventative measures. State DVMS evaluates plant's corrective actions for adequacy and effectiveness while communicating with others involved as needed (may require several iterations)
- State DVMS prepares verification plan and abeyance/deferral letter as indicated. Copies delivered to all required parties<sup>3</sup>
- IIC and Area Supervisor complete bi-weekly verification reports. Assigned RVMOs perform 30-, 60-, and 90-day verification visits. State DVMS prepares Letter of Warning or other appropriate documentation at conclusion of verification plan. All documentation shared with all parties listed.<sup>3,4</sup>

<sup>1</sup>Lead State DVMS: Dr. Jernigan (919-625-0659)

<sup>2</sup>If assigned VMO observes possible egregious HH event, they would inform veterinary supervisor and communication would proceed as above.

<sup>3</sup>Documentation should be emailed to State Director, Area Supervisor, TA Coordinator, State DVMS, Veterinary Supervisor, assigned VMO and TA Office once final revisions have been made. A printed copy of the document should also be provided to plant management.

<sup>4</sup>All parties mentioned will be in communication should a humane handling noncompliance occur during the verification period. Linda Oltz will be assembling documentation in an AER file to be kept in the Raleigh office.